



# REQUEST FOR QUOTATIONS

To be used for Professional Services less than \$250,000.  
Advertisement is not required

**REQUEST FOR QUOTES  
FOR  
PROFESSIONAL  
ENGINEERING/ARCHITECTURAL  
SERVICES**

**Sullivan County  
Sonestown Sewer System Improvements  
Davidson Township  
Sullivan County, Pennsylvania**



Proposals Solicited by:

Sullivan County &  
Davidson Township, Sullivan County

## **REQUEST FOR QUOTES**

Sullivan County is requesting quotes for the purchase of professional Engineering services. These services include all necessary design and specifications for the bidding of and contracting for the Sonestown Sewer System Improvements Project. Complete proposal details, including the required proposal format, may be obtained by contacting:

**Heather Weisen, Project Coordinator**  
**Community Development**  
**SEDA-COG**  
**201 Furnace Road**  
**Lewisburg, PA 17837**  
[Hweisen@seda-cog.org](mailto:Hweisen@seda-cog.org)

Proposal details are also available at [www.seda-cog.org](http://www.seda-cog.org) – News, Notices, Publications.

Proposals will be accepted until January 7, 2022 prevailing time, 3:00 PM, 2021. All received proposals will be publicly opened at the regularly scheduled Sullivan County Commissioners' meeting, held on January 11, 2022, at 10:00 A.M, located in the Sullivan County Courthouse, 245 Muncy Street, Laporte, PA 18626. Proposals shall be on the forms required, sealed and clearly marked on the outside, "Sonestown Sewer System Improvements Engineering Services Proposal," and submitted to:

**Sullivan County Commissioners**  
**245 Muncy Street**  
**Laporte, PA 18626**

**Proposals that are faxed or e-mailed to SEDA-COG cannot be accepted.**

## **PROJECT DESCRIPTION**

This project will be funded with Community Development Block Grant (CDBG) funding.

Sullivan County will complete this CDBG project on behalf of Davidson Township Sewer Authority rather than entering into a cooperative agreement.

Davidson Township Sewer Authority provides wastewater and sewage treatment for the Village of Sonestown in Davidson Township, Sullivan County. The system has a number of concerns and issues that have made major improvements to the system necessary. Specifically, the chlorine contact pit is open to the air and not protected from contamination. The current Sand Distribution System experiences clogging and bio-growth in the distribution piping that does not allow the wastewater to distribute evenly throughout the surface of the sand filters, which causes wastewater concentrates. The main line from the pump station along with the solids collection tank have settled and wastewater discharge has resulted in the area. The current power control panels do not operate correctly, and the booster pump operation panel is exposed to the elements. Lastly, the effluent piping from the treatment plant does not have a check valve that would stop any flow from entering the effluent discharge piping. The valves in the valve pit at the plant are manually operated; when the pit fills with water, the valves are not reachable, rendering them useless. Automatic actuators would allow an operator to switch between filter beds and pumps during operation without manually turning the valves in the pit. In addition to these issues, five pumps throughout the system and the trash pumps need to be replaced as they are inoperable or otherwise in poor condition.

The scope of work in this project includes the complete installation of the following:

- A pole building set on a concrete pad will be constructed around the chlorine contact pit in order to protect it from debris contamination.
- Current piping manifold and distribution laterals with the correctly sized pipe will be installed to correct issues at the sand filter distribution system.
- The main line from the pump station will be rehabilitated
- The solids tank replaced with compacted bedding to address the settling and infiltration.
- An improved control panel will be installed with a shelter over the panel to protect it from precipitation.
- The valve actuators and effluent check valves that currently need to be operated manually will be with electric valves that can function even if the pit is filled with water.
- Five pumps located at the treatment plant and the pump station will be replaced
- A new trash pump installed that will remove water.

## **PROJECT DESIGN**

The Engineer shall prepare all necessary design plans, drawings and specifications to be used for the construction of the improvements and should therefore be complete in detail and contain all necessary information. Drawings shall conform with standard professional practice and consist of all architectural and engineering drawings, including profiles and sections, plot and site plans, and all else necessary to illustrate the interest and scope of the work. Working drawings shall be prepared for structural, hydraulic, mechanical, electrical, and all other branches of the construction work.

The Engineer shall investigate any existing systems affected by the proposed project, identifying options, and advising Sullivan County and Davidson Township in the most appropriate method of modifying the systems as part of the design of this project. Plans and specifications shall be developed in such a manner to delete and add segments should the project costs be over or under budget.

1. All permits, plans, or surveys which may be necessary to define the scope of the work or for the performance of the project shall be the responsibility of the Engineer. The proposal shall include a list of those permits currently anticipated as necessary, identifying actual permit fees which shall be paid by the Engineer as a component of their project proposal. Survey work required for easements/rights-of-way is not a part of this proposal. Federal Environmental Reviews, State Historic Preservation Office Review, and Archaeological Reviews are not a part of this proposal.

Assistance from the Engineer is necessary for Davidson Township and Sullivan County to fully understand the project, and therefore, meeting attendance is of high importance. Accordingly, this proposal shall include four (4) design phase meetings, as well as four (4) preconstruction meetings (as noted below), at which the Engineer is expected to be in attendance. These meetings shall be conducted during the design and construction specifications phase at the convenience of Sullivan County, and shall include the following:

- Design phase meetings
  - A kick-off meeting
  - Two (2) development meetings
  - A meeting to present the final design and receive approval to advertise
  - Preconstruction meeting(s) – (Engineer will coordinate and prepare meeting agenda w/ minutes.)
  - Pre-bid meeting
  - Bid Opening (Engineer will prepare bid tabulation form)
  - Award – (Engineer will make formal recommendation to award)
2. Sullivan County, Davidson Township and the Engineer may suggest additional meetings. Accordingly, proposers should indicate their intention to do so in the narrative of their

proposal. Any cost associated with additional meetings shall be included on the standard Engineers Estimate form.

3. A project design schedule must be included as part of this proposal indicating key milestones and dates of completion. All final plans and specifications must be submitted for approval by Sullivan County and Davidson Township. In addition, the Engineer must provide a list of sub consultants, if applicable, for approval by Sullivan County. It is the intention of Sullivan County and Davidson Township to advertise for construction bids on or before June 1, 2022. It is understood that changes in the project scope or nature may affect this schedule.
4. Upon completion of the preliminary design, and again when requesting approval of the final design, the Engineer shall prepare and provide to Sullivan County and Davidson Township an opinion of probable construction costs, either as a lump sum or utilizing the itemized bid schedule if a line-item construction bid is proposed.

### **PREPARATION OF DOCUMENTS AND COORDINATION OF BIDDING**

The Engineer shall prepare all construction contract documents, including but not limited to, bid schedule, bond forms (bid, performance, payment), construction specifications and agreements, compliance forms, etc. The Engineer shall be responsible for coordinating the bidding process, including printing and distributing up to ten (10) sets of bid documents, publishing the required advertisements and management of any required deposits or payments. Costs for this portion of the work shall be borne by the Engineer except that the actual costs for legal advertisements will be reimbursed by Sullivan County. Insofar as federal CDBG monies are being used in the project, forms and procedures meeting the requirements of the PA Department of Community and Economic Development shall be used in the contracting documents. SEDA-COG shall facilitate this process by providing model bidding documents for use by the Engineer.

In addition, the Engineer will assist the solicitor throughout the project including, but not limited to, the preparation of documents involving engineering matters and preparation of contract documents for the solicitor's opinion, a review of the bid documents, conformity with the specifications, and requirements, as well as a formal recommendation of bid award. The Engineer is also responsible to manage the construction contract award process, including issuance of the Notice of Intent to Award, execution of the agreements, Award, Notice of Start of Construction, Substantial Completion, Final Inspection, and Completion.

### **CONSTRUCTION SUPERVISION**

The Engineer is expected to furnish customary engineering advice and assistance necessary to enable Sullivan County and Davidson Township to readily understand the project. The Engineer shall visit the construction site to observe progress and quality of work, to determine if work is proceeding in accordance with contract documents, to keep Sullivan County and Davidson Township informed of progress, to guard against defects and deficiencies, and to disapprove work

not in conformance with contract documents. The Engineer shall serve as the Sullivan County representative at the project site, issuing all instructions to the contractors and preparing any change orders.

The Engineer will check and approve samples, schedules, shop drawings, catalogue data, laboratory, shop and mill tests of materials and equipment, and other data which contractors are required to submit to ensure conformity with project design, concept, and requirements. The Engineer, in accordance with accepted professional standards and practice, shall review contractors' payment requests and approve, in writing, payment to the contractors in such amounts. The Engineer will also conduct, in the company of the owner and the contractor, a final inspection of the project for conformity with design, concept, and contract documents. When the project is complete, the Engineer will correct all drawings to show construction and installation as actually accomplished and will furnish one set of full size "As Built" prints and one electronic copy to Sullivan County and Davidson Township.

These services, to be provided by the Engineer, do not infer resident inspection services. The Engineer is expected to visit the project site during construction, not less than Four times and conduct a final inspection at project completion. Comprehensive design, inspection of work and compliance with specifications is of utmost importance to a successful and timely project completion. Accordingly, the Engineer shall indicate with what frequency field visits will be provided. As a part of this proposal, it is the Engineer's responsibility to estimate the project duration in order to calculate the number of construction supervision site visits. Should the project take less or more time than anticipated, no adjustment to the costs for project supervision will be considered.

### **ADDITIONAL SERVICES OF ENGINEER**

Where participating state and/or federal agencies require reports relating to construction, the Engineer shall prepare and submit such reports and shall assist in any negotiations with these or other agencies as is necessary for final approval.

### **TERMS OF PAYMENT**

The Engineer will be required to enter into a written Professional Services Agreement with Sullivan County, which will incorporate the information contained in this Request for Quotes. The Engineer will agree to a lump sum fee, which shall include all costs associated with the services outlined herein. Costs sometimes separately billed as reimbursable costs shall be declared and included in the lump sum amount of this proposal. Payment shall be made to the Engineer on a monthly basis.

Invoices shall be provided setting forth the percentage of work completed to date, establishing the amount due based on the percentage completed, less any previous amounts. Payment shall be expressly contingent upon receipt of funds from the Department of Community and Economic Development.

### **MBE/WBE GOALS**

It is the public policy of Sullivan County, to promote the opportunity for full participation by Minority and Women's Business Enterprises ("MBE's" and "WBE's") and Section 3 business concerns in all projects receiving federal funds from the United States Department of Housing and Urban Development which are administered by the Pennsylvania Department of Community and Economic Development.

Sullivan County has established a Minimum Participation Level (MPL) of five percent (5%) for Minority Business Enterprises and three percent (3%) for Women Business Enterprises participation on projects with CDBG, ESG, and/or HOME funding.

### **SECTION 3 GOALS**

Sullivan County has adopted a Section 3 Action Plan in accordance with Title 24 Part 135 Code of Federal Regulations. As such, at least three percent (3%) of the total dollar amount of all non-construction Section 3 covered contracts shall, to the greatest extent feasible, be awarded to Section 3 business concerns.

The purpose of Section 3 of the Housing and Urban Development (HUD) Act of 1968, as amended, is to ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing federal, state, and local laws and regulations, be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing; and to business concerns which provide employment opportunities to low- and very low-income persons, as summarized in the "Section 3 Clause", herein incorporated. The "Section 3 Clause", in its entirety, can be located in the Federal Code of Regulations 24 CFR Part 135.

The parties, if awarded this contract, agree to comply with HUD's regulations in 24 CFR Part 135, which implement Section 3. The parties certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.

If awarded the contract, the proposer, agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The proposer will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.

The proposer will certify that any vacant employment positions, including training positions, that are filled were not filled to circumvent the contractor's obligations under 24 CFR Part 135.



Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

### **PROPOSAL SUBMISSION AND EVALUATION**

Three (3) copies of the proposal must be submitted to:

Sullivan County Commissioners  
245 Muncy Street  
Laporte, PA 18626

Proposals must be received no later than January 7, 2022. **Proposals that are faxed or e-mailed to SEDA-COG cannot be accepted.**

Proposals will be reviewed and evaluated by Sullivan County, Davidson Township and SEDA-COG. The evaluation will include the following areas in order of relative importance:

- Adequacy of proposal in the terms of addressing the needs that are set forth in the Request for Proposals.
- Relevant experience and past performance.
- Quality of previous work.
- Adequacy of resources/record of completing projects on time.
- Cost.
- Proposer's commitment to the obligations of Sullivan County's Minority Owned Business Enterprises and Women Owned Business Enterprises Action Plan.
- Proposer's commitment to the obligations of Sullivan County's Section 3 Business Action Plan.

Note: Cost is only one of several criteria to be considered in evaluating proposals. SEDA-COG will not publish proposal cost summaries. Proposers are, however, invited to attend the opening.

## **REQUIRED INFORMATION**

Each proposer shall provide all information herein requested. Furthermore, no proposal will be considered unless the attached Standard Form is completed in its entirety.

Each proposer shall provide a minimum of two references where similar engineering services have been performed during the past three years. These projects should be similar in design and scope. If the proposer is an MBE/WBE or Section 3 business concern, a certification form shall be submitted with the bid attesting to the firms' status as such. Firms may be requested to sit for interviews and/or negotiation of contract terms and fees prior to contract award.

If any part of the project is subcontracted, requires purchases for services, or requires hiring of additional employees, the proposers must submit documentary evidence of MBE/WBE and Section 3 business concerns who have been contacted and/or to whom commitments have been made. If no solicitation was made to MBE/WBE's or Section 3 business concerns or residents, please indicate the reason(s). If there is no need for additional employees or trainees, or no need to contract for work, then the Section 3 and MBE/WBE requirements are not triggered.

Sullivan County reserves the right to accept or reject any and all proposals or to waive any irregularities.

Professional Liability Insurance is required for this project. Please indicate the level of coverage provided.

**STANDARD ENGINEERING ESTIMATE**

FIRM NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

TELEPHONE: \_\_\_\_\_

REPRESENTATIVE: \_\_\_\_\_

I. Project design, specification, required meeting attendance, bidding, and other engineering services \_\_\_\_\_

II. Project construction supervision  
Indicate project duration and frequency of visits below. \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TOTAL LUMP SUM: \$ \_\_\_\_\_

Additional Meetings:

Lump sum cost for additional meetings if requested by \_\_\_\_\_ \$ \_\_\_\_\_

I, \_\_\_\_\_, a licensed professional Engineer in the Commonwealth of Pennsylvania and an authorized representative of the above-indicated firm, have reviewed and understand the \_\_\_\_\_ Request for Proposals, and I/we am/are prepared to provide the required services for the above costs.

(SEAL) \_\_\_\_\_

ATTACH A MINIMUM OF TWO (2) PROJECT REFERENCES, SIMILAR IN DESIGN AND SCOPE.  
ATTACH LIMITS OF COVERAGE FOR PROFESSIONAL LIABILITY INSURANCE.

**MBE/WBE CONTRACT SOLICITATION AND COMMITMENT STATEMENT  
TO BE SUBMITTED WITH THE BID**

Goals of **5%** for minority business enterprise and **3%** for women business enterprise participation have been established.

Name of Bidder:	Project Name:
Address:	Bid Opening Date:
Email Address:	
Telephone Number:	Contact Person:

List those minority/women owned businesses from which you solicited quotes and/or received quotes in regard to this invitation for bid.

<b>Company Name &amp; Telephone Number</b>	<b>MBE*</b> (enter code from below)	<b>WBE</b> (X)	<b>Type of Construction, Equipment, Services, and/or Supplies to be provided to the Project</b>	<b>Total Dollar Amount of Quote Received</b>  (Please mark NR If no response was received)	<b>Total Dollar Amount Awarded</b>  (If not awarded indicate reason)
Prepared By:			Title:		

\*Ethnic Code: A- Asian-Pacific Americans; B-African Americans; H-Hispanic Americans; N- Native Americans

## **MINORITY AND WOMEN BUSINESS ENTERPRISE BIDDER CERTIFICATION**

The submittals of each bidder are subject to review to determine whether the bidder has discriminated in the selection of manufacturers, subcontractors and suppliers. If a bidder has met the goals for MBE/WBE participation, the bidder will be presumed not to have discriminated in their selections.

*Where the goals are not met*, the below statements, if accurate, shall be certified by the bidder:

1. The limited number or no commitment to MBEs/WBEs was not motivated by consideration of race or gender.
2. MBEs/WBEs were not treated less favorably than other businesses in the contract solicitation and commitment process.
3. Solicitation and commitment decisions were not based upon policies which disparately affect MBEs/WBEs.

By signing below, I certify that the above statements are true and accurate.

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Company Name

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Signature

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Date

**SECTION 3 CONTRACT SOLICITATION AND COMMITMENT STATEMENT  
TO BE SUBMITTED WITH THE BID**

Minimum Participation Levels (MPL): Section 3 - 10% construction & 3% non-construction  
Section 3 - 30% aggregate new hires

Name of Bidder:	Project Name:
Address:	Bid Opening Date:
Email Address:	
Telephone Number:	Contact Person:

List those Section 3 business concerns from which you solicited quotes or which contacted you and gave you quotes in regard to this invitation for bid.

Company Name & Telephone Number	Type of Construction, Equipment, Services, and/or Supplies to be provided to the Project	Total Dollar Amount of Quote Received <small>(Please mark NR if no response was received)</small>	Total Dollar Amount Awarded <small>(If not awarded indicate the reason)</small>	IF NO COMMITMENT WAS MADE TO A SECTION 3 BUSINESS- EXPLAIN
Preparers Signature:			Title	

- (1) Indicate whether Section 3 Business Concerns were solicited for each type of work the bidder expects to subcontract for and for all materials which the bidder expects to procure and, if not, the reason(s) why no such solicitation was made;
- (2) Indicate the reason why a Section 3 Business Concern has not been committed to for a type of subcontract work or materials in any area where a quote was received from a Section 3 Business Concern, and;
- (3) In any case where no quotations are received or commitments made to a Section 3 Business Concern, indicate that no quotes were received, and if there is another reason for no commitments being made, the reason for the lack of commitments.

**SECTION 3 BUSINESS CERTIFICATION**

As principal owner of \_\_\_\_\_, I certify that this  
(Section 3 Business Concern Name)

firm is a bona fide Section 3 owned business.

I further certify that this firm was subcontracted by \_\_\_\_\_  
for work on the \_\_\_\_\_ Project in the amount of \$\_\_\_\_\_.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature/Title